

PATENT



Attorney Docket: TOPP-P7.1-US *IFW*

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RELATED MATTERS

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT : Daniel P. Topp  
TITLE : APPARATUS FOR ERADICATING PESTS  
APPLICATION NO. : 10/651,583  
FILING DATE : August 29, 2003  
EXAMINER : David J. Parsley  
ART UNIT : 3643  
ATTORNEY DOCKET NO. : TOPP-P7.1-US

TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §§ 1.56, 1.97 and 1.98**

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement (IDS) for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The twenty-four (24) documents being submitted to the PTO for consideration are hereby listed on Form PTO-1449 (1 pg.) attached hereto.

II. COPIES (check at least one box)

A. X Pursuant to the Official Gazette Notice dated August 5, 2003, the PTO waived the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S.

Patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003, and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. Since the subject application was filed after June 30, 2003, submitted herewith is a legible copy of each foreign patent document (0 documents) and each non-patent document/literature (0 documents) listed.

B. X Any patents, publications or other information (including the two foreign patent documents) which are listed on Form-PTO/SB/08A, Form-PTO-1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

<u>U.S. Serial Number</u>	<u>U.S. Filing Date</u>
10/145,184 (Now Patent No. 6,612,067)	05/14/2002 (Issued on Sep. 2, 2003)

C. \_\_\_\_ Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cited pending U.S. application, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

A. X Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).

B. \_\_\_\_ A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):

C. \_\_\_\_ The following additional information is provided for the Examiner's consideration.

IV. CROSS REFERENCE TO RELATED APPLICATION(S)

A. X The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this these application(s) to the Examiner's attention, Applicant(s) does (do) not waive the confidentiality provisions of 35 U.S.C. § 122.

<u>U.S. Serial No. or Patent No.</u>	<u>U.S. Filing/Issue Date</u>	<u>Atty. Docket</u>
10/145,184 (now 6,612,067)	05/14/2002 (09/02/2003)	TOPP-P7-US
10/147,745	May 16, 2002	TOPP-P5.1-US

V. THIS IDS IS BEING FILED UNDERA. ☐ 37 C.F.R. § 1.97(b): (check only one box)

1. ☐ within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.

2. ☐ within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.

3a. ☐ before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required.

3b. ☐ in the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

4. ☐ before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required.

B. ☒ 37 C.F.R. § 1.97(c): (check only one box)

• before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.

1. ☒ No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).

2. ☐ See the certification below. No fee is required.

C. ☐ 37 C.F.R. § 1.97(d): (check only one box)

• after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.

1. ☐ See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.311.

VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (if required, check only one box)

A. ☐ each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)); or

B. ☐ no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).

C. ☐ Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

VII. PAYMENT OF FEES (if required, check only one box)

A. ☐ A check in the amount of \$180.00 is enclosed for the above-identified fee.

B. ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

C. ☒ Credit Card Payment Form (PTO-2038) is enclosed in the amount of \$180.00 for the above-indicated fee.

This Information Disclosure Statement (IDS) should not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists. The submission of these documents is not intended to be deemed an admission that they are, or are considered to be, statutory prior art, analogous art, contain matter which anticipates the invention or material to patentability as defined in 37 C.F.R. § 1.56(b). Also, by this listing, the Applicant is not making any admission regarding the relative dates of the invention and listed disclosures.

Some of the documents may have markings thereon. No significance is intended to be attached to the markings. Moreover, no representation is made that any brief descriptions of the references herein necessarily describe the most material aspects of the references.

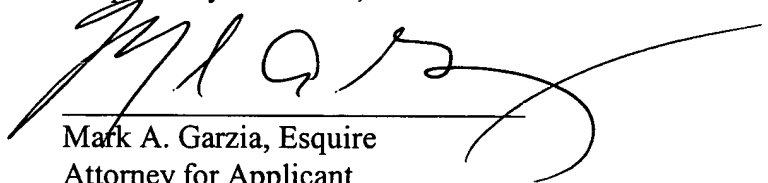
The Examiner is requested to consider carefully the complete text of these documents in connection with the examination of the above-identified application in accordance with 37 C.F.R. § 1.104(a). It is requested that the document listed on the attached Form PTO-1449 be included in the "References Cited" portion of any patent that may issue from this application (M.P.E.P. § 1302.12), and that the Examiner initial and return a copy of said form to evidence consideration of the document.

A self-addressed postage pre-paid postcard is enclosed for the PTO to acknowledge receipt of this communication.

It is believed that this IDS complies with the requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98 and the Manual of Patenting Examining Procedures § 609. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to accept this IDS under the appropriate rule and to contact the undersigned by telephone promptly so that any deficiency may be remedied.

Dated: 10 AUGUST 2004

Respectfully submitted,



Mark A. Garzia, Esquire

Attorney for Applicant

Registration No. 35,517

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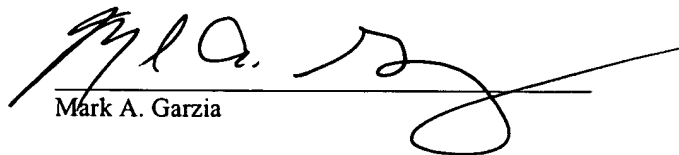
Telephone: (610) 485-9400

**CERTIFICATE OF MAILING**

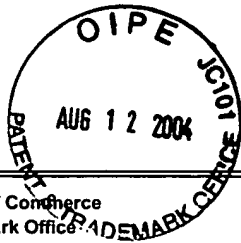
I hereby certify that this communication, along with any paper or fee indicated as being enclosed, is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

10 AUGUST 2004

Date



Mark A. Garzia

Form PTO-1449  
(REV. 8-93)U.S. Department of Commerce  
Patent and Trademark Office

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Application No. 10/651,583

Filed: August 29, 2003

Inventor: Topp, Daniel P.

Atty. Docket No. TOPP-P7.1-US

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	3,811,579	05/1974	Black	414	347	
	4,017,980	04/1977	Kleinguenther	34	396	
	4,163,038	07/1979	Nishimura et al.	422	36	
	4,620,373	11/1986	Laskowski et al.	34	406	
	4,716,676	01/1988	Imagawa	43	130	
	4,961,283	10/1990	Forbes	43	124	
	5,058,313	10/1991	Tallon	43	124	
	5,203,108	04/1993	Washburn, Jr.	43	130	
	5,447,686	09/1995	Seidner	422	26	
	5,525,295	06/1996	Pflug et al.	422	27	
	5,607,652	03/1997	Hellmuth et al.	422	300	
	5,789,007	08/1998	Bianco	426	263	
	5,941,233	08/24/1999	Grinols et al.	126	110 R	
	5,965,185	10/1999	Bianco	426	449	
	6,141,901	11/07/2000	Johnson et al.	43	124	
	6,146,600	11/2000	Williamson	422	307	
	6,171,561	01/09/2001	Williamson et al.	422	307	
	6,227,002	05/2001	Bianco et al.	62	302	
	6,319,481	11/2001	Banks	422	300	
	6,447,737	09/2002	Williamson et al.	422	307	
	6,612,067	09/02/2003	Topp	43	124	
	US-2003-0026727-A1	02/06/2003	Topp			05/16/02

## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation
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Yes No

DE	3421719	12/1985	Straus				
DE	4025828	02/1992	Heiss				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.